

# Targeted therapeutics in GWI: Status report on CoQ10 and Rituximab Trials

Nancy Klimas, MD

Nova Southeastern University

Miami VA Medical Center

Institute for Neuro-Immune Medicine  
(NSU INIM)

Representing

The NSU Institute for Neuro-Immune Medicine

Mary Ann Fletcher, PhD, Lubov Nathanson, PhD, Gordon  
Broderick, PhD, Travis Craddock, PhD and the INIM team

ABOUT ONE-THIRD OF GULF WAR  
VETERANS — OR 175,000 TO 250,000  
HAVE GULF WAR ILLNESS. USA TODAY



# TWO ILLNESSES WITH SIMILAR CLINICAL PRESENTATION

| <b>Gulf War Illness</b><br><b>300,000 US veterans</b>                                   | <b>Chronic Fatigue Syndrome</b><br><b>1,000,000+ US citizens</b>  |
|---|---|
| Fatigue   | Disabling fatigue*  |
| Depression  | Exercise induced relapse*   |
| Arthralgia  | Arthralgia  |
| Myalgia   | Myalgia   |
| Sleep disturbance   | Non restorative sleep   |
| Cognitive dysfunction   | Cognitive dysfunction   |
| Headache  | Headache  |
| Diarrhea, intermittent  | Sore throat   |
| Wheezing, Cough, Chest pain,<br>Shortness of breath<br><br>Weight loss, low grade fever | Tender lymph nodes<br>Systemic Exertion Intolerance Disease<br>SEID 1 and 2 plus cognitive or<br>autonomic findings |

# MODEL OF PATHOGENESIS

Genetic Predisposition

```
graph TD; A[Genetic Predisposition] --> B[Triggering event / infection]; B --> C["Mediators (Immune, endocrine, neuroendocrine, sleep, psychosocial, viral reactivation or persistence) Mediators used to focus treatment strategy"]; C --> D[GWI or ME/CFS]
```

Triggering event / infection

Mediators (Immune, endocrine, neuroendocrine, sleep, psychosocial, viral reactivation or persistence) Mediators used to focus treatment strategy

GWI or ME/CFS

# Immune abnormalities common to both GWI and CFS/ME

## Immune Activation

- ▶ DR, CD26, CD 38 expression
- ▶ TH2 cytokine shift
- ▶ Pro-inflammatory cytokines expression TNF-a, IL-1, IL6
- ▶ NPY elevation,
- ▶ Neuro inflammation by imaging

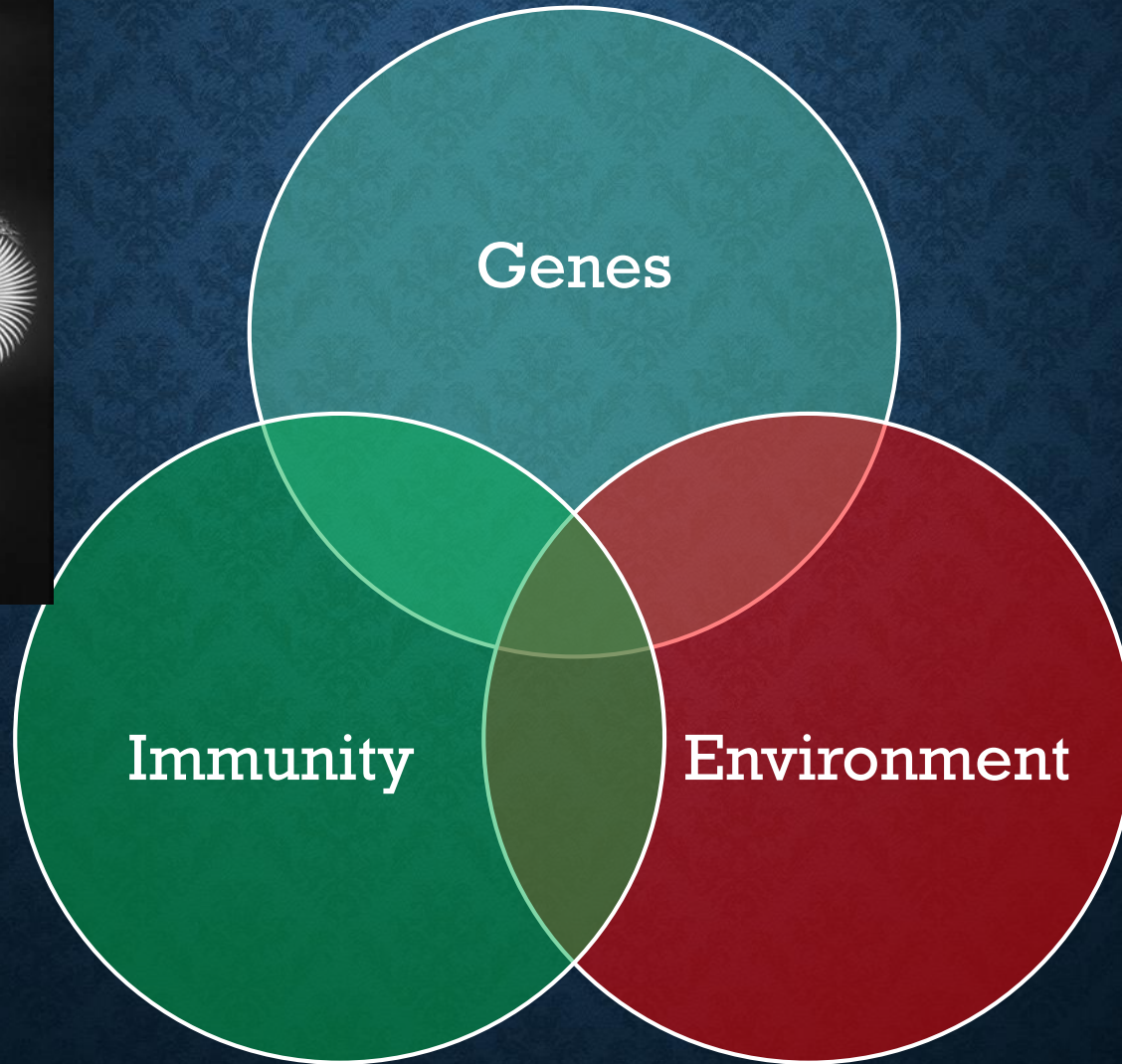
## Functional defects

- NK Cell dysfunction
- CD8 abnormalities
- ↓ Perforins, Granzymes
- Macrophage abnormalities
- Antibody production
- Mitochondrial dysfunction

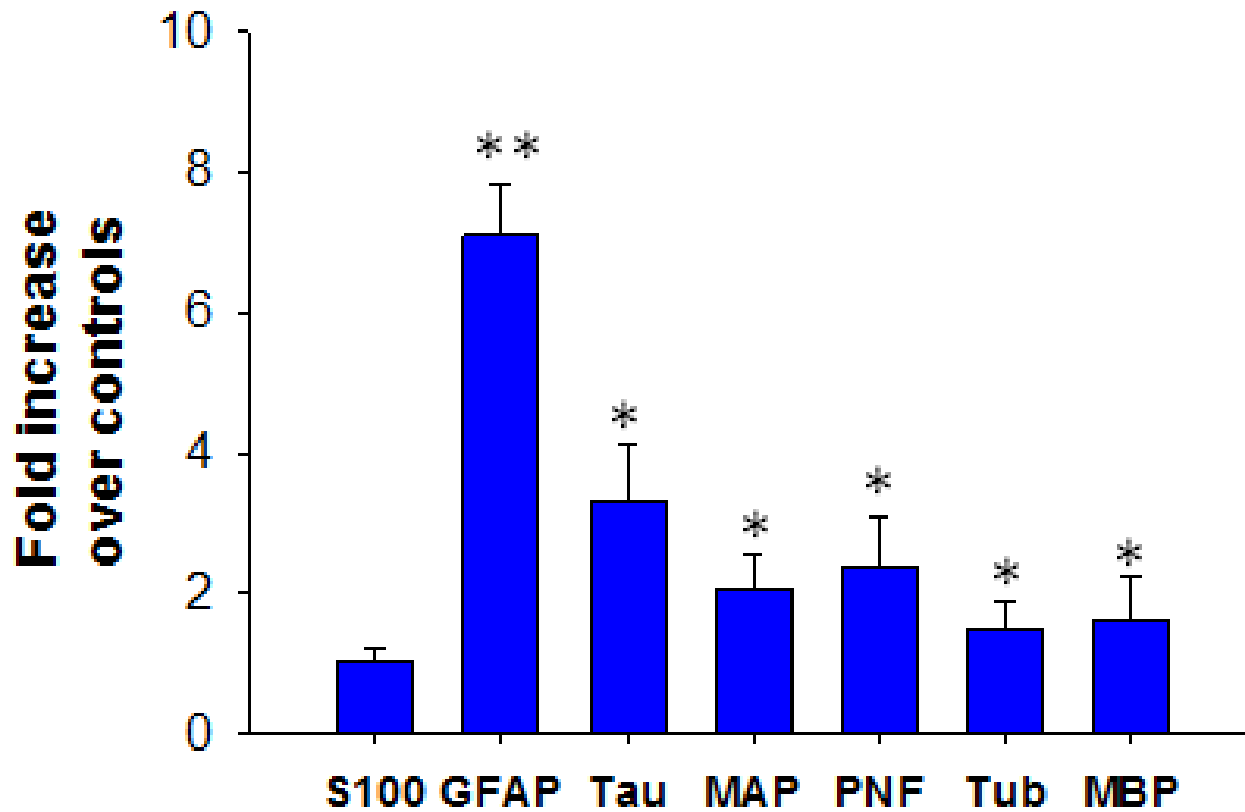
# THE USE OF B CELL DEPLETION IN THE TREATMENT OF GWI

- Promising phase 2 study on ME/CFS
- Similar immune signature, suggesting autoimmune process
- Auto antibody studies of Dr Abou Donia and Kim Sullivan suggests CNS autoantibodies could play an important role in a large subset of GWI
- Earlier studies of anti-GAD antibody also suggest this model

# AUTOIMMUNITY



# THE ROLE OF AUTOANTIBODIES IN GWI



## Fold differences

CaMKII 9.27,  
GFAP 6.60,  
Tau 4.83,  
Tubulin 4.41,  
MAG 3.60,  
MBP 2.50,  
NFP 2.45,  
MAP-2 2.30,  
S-100B 1.03



# RITUXIMAB IN ME/CFS

- Two phase 2 studies (Fluge and Mella)
- Phase 3 study using repeated dosing underway
- In those that improved, there was a marked improvement in function, highly durable (25 Months to 44 Months) , 64% of 29 in open label phase 2, 67% of 15 in placebo control trial (13% placebo benefit)
- No autoantibody clearly predictive

# OBJECTIVES

- 1. To evaluate the safety of rituximab, relative to placebo in GWI
- 2. To evaluate the effect of rituximab, relative to placebo, on changes in Short Form-36 (SF-36) combined physical health summary and vitality subscale scores relative to baseline during nine month follow up
- 3. To determine the predictive value of CNS autoantibodies levels against neuronal glial proteins in responders and nonresponders.

# DESIGN

- Double blind placebo control
- **40 veterans with GWI, 20 in each group,** matched for age +/- 5y, body mass index +/-5 (BMI), ethnicity and gender
- This study will compare treatment with rituximab or saline solution; two infusions with two weeks' interval (500 mg/m<sup>2</sup>, max. 1000 mg), followed by nine months of observation and assessment.
- 9 month observation

## **OUTCOME VARIABLES**

**Primary: SF36 vitality and physical function** sub scores,

**Secondary:**

**Neurocognitive function scores** (To, six week, three month, six month, and nine month visit),

**Self-reported fatigue score** monthly

**Physical activity** using a Fitbit Charge device that also gives measures of rest (sleep) and pulse,

**Fatigue severity scale (FSS)**

**Clinical response duration** defined as consecutive self-recorded fatigue score improvement of at least 4.5 for a minimum of four weeks, as used in the Norwegian CFS/ME studies.

## LAB MEASURES (BASELINE, SIX WEEKS, THREE MONTHS, SIX MONTHS, NINE MONTHS)

- Focus on safety and mediators
- **Safety** – CBC, renal and liver function, electrolytes, u/a
- **Cytokines:** The culture supernatants will be harvested for analyses of cytokines (18 cytokine multiplex panel),
- NPY, and epinephrine, norepinephrine.
- **Flow cytometry:** Subsets the panel focuses on activation markers, naïve vs. memory populations, markers of apoptosis.
- **Natural killer cell function,** whole blood assay, same day as draw

# LAB MEASURES

- **Gene expression by NanoString:** We will use a NanoString nCounter of 450 genes selected from our previous work with GWI and 15 internal reference genes. .
- **Hypothalamic-pituitary-adrenal (HPA) axis:** Four salivary cortisol levels are assessed at each time point, to evaluate diurnal expression.
- **Hypothalamic-pituitary-gonadal (HPG) axis:** Serum testosterone levels, estrogen, luteinizing hormone and progesterone
- **Hypothalamic-pituitary-thyroid (HPT) axis.** TSH, free T<sub>4</sub> and free T<sub>3</sub>.
- **Autoantibodies Determination** neurofilament proteins, microtubule associated protein-2 (MAP-2), microtubule associated protein tau (TAU), tubulin, myelin basic protein (MBP), glial fibrillary acidic protein (GFAP), and glial S100B protein.

## **STATUS REPORT : RITUXIMAB STUDY**

Study personnel on board, trained

Redcap platform up and tested

Protocol revisions responsive to critique incorporated

FDA IND exclusion obtained

NSU IRB submitted, initial questions answered

Full approval expected mid August

HRPO review at that point

CDMRP budget finalized for approval

Initiation anticipated October 2017

# CO Q 10 OVERVIEW

- Background
- Early Phase I/II Clinical Trial of CoQ10 Results
- Importance of Coenzyme Q10
- Comparison of Phase I/II and Phase III Trials
- New Phase III Clinical Trial Research Design
  - Research Objectives, Aims, and Outcomes
  - Phase III Recruitment, Eligibility, and Exclusion Criteria
- Timeline: Next Steps for Study Implementation
- Future Collaborative Clinical Trials



# BACKGROUND

- Gulf War veterans experienced environmental exposures that are known to contribute to cell injury.
- Studies revealed problems with detoxification pathways which suggest interventions that will prevent or repair cell function.
- Studies using exercise challenge show rapid anaerobic state with free radical/oxidative stress pathway activation
- **Early study: Phase I/II Clinical Trial of CoQ10 in Patients with Gulf War Illness (GWI) (Golomb, 2014)**



# PHASE I/II COQ10 CLINICAL TRIAL OVERALL RESULTS

- Significant improvement in men with Gulf War Illness as compared to subjects taking placebo (no drug) based on general self-related health (GSRH).
- A rise in CoQ10 levels approached statistical significance as a predictor of improved general health (GSRH) and significantly predicted better physical function in men.
- Women did not experience the same degree of improvement as men (very small N).
- Subjects taking 300 mg experienced activating effects of night-time split dose, interfering with sleep.

# PHASE I/II COQ10 STUDY RESULTS SPECIFIC GROUPS

**Study Conclusion: CoQ10 provided benefit to physical function and symptoms in veterans with GWI and a study with a larger sample is warranted.**

A larger sample is needed to see the effect of interesting findings:

- In the placebo group, higher ratings of general health at baseline predicted greater reporting of benefits on symptoms.
- For subjects taking 300 mg, significant favorable and unfavorable effects of symptoms were observed.
- Digital span backward cognitive test—no effects observed, but poor sensitivity to self-ratings.

# PHASE I/II COQ10 STUDY RESULTS

## COQ10 BLOOD LEVELS

- Some participants who took 100 mg or 300 mg failed to show increases in CoQ10 levels.
- Largest CoQ10 increases seen in the group taking 300 mg.
- At least 1 participant in Placebo group showed a sizeable increase.
- The largest CoQ10 increase in Placebo group exceeded the largest CoQ10 increase in Q100 group.
- Some subjects who took higher dose of 300 mg did not change their CoQ10 levels nor their outcomes by a large amount.
- Some subjects who took 300 mg and who increased their CoQ10 levels had no ability to change their outcomes since their baseline scores on the general health scales were at the top score.

# PHASE I/II AND PHASE III COMPARISON: PRESCRIPTION AND DISPENSING



| Comparative Indicator                  | Phase III—New  | Phase I / II   |
|--|--|--|
| Number of VA Sites Involved            | 4 -- FL, MA, MN, NY  | 1 -- California  |
| Total number of Research Participants  | 200  | 46   |
| Form of CoQ10:                         | Ubiquinol  | Ubiquinone   |
| Prescription dosage and # participants | 100 Ubiquinol 200 mg<br><br>100 Placebo  | 11 Ubiquinone 100 mg<br>12 Ubiquinone 300 mg<br>23 Placebo   |
| Drug Dispensing                        | 1 softgel for 4 months<br>2 softgels for 2 months<br>Taken once/day                                      | 3 softgels: 1 larger + 2 smaller<br><br>Taken 3 times/day  |
| Timing of dose                         | Morning only   | Morning, afternoon, evening  |
| Outcome Measures                       | Medical Outcomes SF-36<br><br>3 Cognitive Tests:<br><br>Several validated survey tools<br><br>Biomarkers | General Self-Rated Health<br><br>1 Cognitive Test:<br>Digital Span backwards<br><br>General survey (not validated)<br><br>Biomarkers |

# IMPORTANCE OF COENZYME Q10

- Coenzyme Q10 exists in our cells and facilitates the transformation of fats and sugars into energy.
- When CoQ10 levels lower, the ability of cells to sustain energy is impaired.
- Scientific evidence shows that CoQ10:
  - Provides benefits to organs whose cells require high-level energy demands such as the heart, brain, and kidneys
  - Has the ability to restore function
  - Has a beneficial effect on overall health.
- CoQ10 levels can be fully restored by taking the proper dose of supplemental CoQ10.

# TWO MAIN FORMS OF COQ10



Ubiquinone

Phase I/II

Ubiquinol

Phase III

To gain the benefit, our bodies must convert Ubiquinone into Ubiquinol before it can be used to create cellular energy.

Both are present within the body's cells and both are needed to generate and maintain cellular energy.

# COMPARISON OF TYPES OF COQ10

- Ubiquinol is superior to Ubiquinone:
  - Maintains higher CoQ10 blood levels longer
  - Is 8 times more absorbable
  - Provides superior health benefits
- CoQ10 blood levels are 60% higher after taking only 1 dose of **ubiquinol** compared to **Ubiquinone**.
- Ubiquinone is:
  - More well-known and was taken as a supplement during the past 30 years because ubiquinol was not available as a supplement until 2006.



# COQ10 UBIQUINOL PHARMACOKINETICS

- **Bioavailability:** Absorption is slow and limited. Food may enhance bioavailability.
- **Metabolism:** Hepatic, but can occur within all tissues
- **Half-life elimination:** 33-48 hours
- **Time to peak, serum:** 5-10 hours first peak; 24 hours second peak (enterohepatic recirculation)
- **Conc. steady state:** 2 weeks
- **Excretion:**
  - Major: Biliary and fecal excretion
  - Minor: Urinary



# COMPARISON OF PHASE I/II AND PHASE III CLINICAL TRIALS

| Comparative Indicator                  | Phase III—New  | Phase I / II   |
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# PHASE III CLINICAL RESEARCH DESIGN



- Randomized, Double Blind, Placebo controlled
- Multi-site Study at 4 VA Medical Centers (VAMC)
- Total Participants= 200    50 veterans from VAMC
- 25 Active CoQ10 and 25 Placebo at each VAMC
- Comparison of Group A and Group B
- Comparison of Response Rate across 3 assessment times

# PHASE III CLINICAL TRIAL RESEARCH OBJECTIVES

## Objectives:

- To determine the efficacy of CoQ10 in GWI related symptom control
- To evaluate putative biomarkers for their ability to predict severity and response to therapy
- To test the utility of measures of illness severity and function as outcome variables in GWI.



# PHASE III CLINICAL TRIAL SPECIFIC AIMS

- Perform a randomized, double blind, placebo control, Phase III study comparing CoQ10 (ubiquinol) to placebo, with a 6 month intervention and assessment of safety, efficacy and biomarker response to therapy;
- Perform biomarker studies before and after 2, 4, and 6 months of therapy, with blood and saliva collections, hormone studies, and laboratory assessments.
- Assess the domains of illness and illness severity, and evaluate the utility of the selected instruments for future clinical trials use in GWI.

# PHASE III CLINICAL TRIAL BIOREPOSITORY

- Housed at Miami VA Healthcare System
- Future Analyses include:
  - NK cell cytotoxicity
  - Flow cytometry
  - Plasma cytokines
  - Thiol
  - Mitochondrial function
  - Gene expression



Newly funded CDMRP grant will support the mechanisms project (Fletcher, PI, Klimas partner PI)

# PHASE III CLINICAL TRIAL PRIMARY OUTCOME VARIABLE

## Medical Outcomes Study 36-item Short-Form Health Survey (SF-36)

A general indicator of health status (function and well-being) with physical and emotional subscales.

### Study Focus: Physical Subscale

The SF-36 assesses health-related quality of life in 8 areas:

- 1.) Limitations in physical activities because of health problems
- 2.) Limitations in social activities because of physical problems;
- 3.) Limitations in usual role activities because of physical health problems
- 4.) Bodily pain
- 5.) General mental health;
- 6.) Limitations in usual role activities because of emotional problems
- 7.) Vitality (energy and fatigue);
- 8.) General health perceptions

# PHASE III CLINICAL TRIAL SECONDARY OUTCOME VARIABLES

- **Composite International Diagnostic Interview (CIDI):**  
Computer driven interview format assessment to diagnose current and lifetime DSM-IV Axis 1 psychiatric disorders. These include mood, anxiety, psychotic, substance abuse, eating and somatoform disorders.
- **GWI Health Symptom Checklist (HSC),** a list of the domains noted in the GWI case definitions (previously determined by factor analysis), with a visual analog severity scale, including current, worst day and best day scales which we have further adapted to include frequency of symptom.
- **Multidimensional Fatigue Inventory (MFI)** s a 20-item self-report instrument designed to measure fatigue. It covers the following dimensions: General fatigue, physical fatigue, mental fatigue, reduced motivation and reduced activity.



# PHASE III CLINICAL TRIAL SECONDARY OUTCOME VARIABLES

- **Pittsburgh Sleep Inventory** (short form) measuring quality of sleep.
- **Ham-D, Ham-A** measuring depression and anxiety.
- **Davidson Trauma Scale** assesses symptoms of PTSD in three clusters: intrusion, avoidance, and hyperarousal. While not exclusion criteria unless hospitalized for PTSD in the prior 3 years, this information is collected to establish subpopulations and inform the understanding of results.
- **Fitbit** counts heart rate, steps, stairs, periods of inactivity, that can tabulate this indirect measure of hours of sleep, restless periods of sleep, awake periods during sleep, and naps.
- **Gynecologic Questionnaire** assesses routine gynecologic parameters and time of assessment as it relates to menstrual cycle.

# PHASE III CLINICAL TRIAL SECONDARY OUTCOME VARIABLES

- **Autonomic nervous system measures** includes measurement of blood pressure and heart rate in laying and standing positions. Subjects are recumbent for 30 minutes and stand for five minutes and ten minutes. Blood pressure and heart rate are measured at lying and after five and ten minutes of standing.
- **Pain** assessed using a 10-cm visual analog scale, in which 0 = no pain and 10 = the worst pain possible. Note that the PE also includes a quantitative tender point evaluation.
- **Biomarkers**

# PHASE III CLINICAL TRIAL SECONDARY OUTCOME VARIABLES: COGNITIVE MEASURES



- **Conners Continuous Performance Test (CPT-3)** is a test of attention, vigilance, and tracking of reaction time total omission and commission.
- **California Verbal Learning Test (CVLT-II)** is a test of learning strategies and visual memory.
- **Brief Visual Memory Test (BVMT)** measures visual memory total recall

# PHASE III CLINICAL TRIAL RECRUITMENT CONCERNS

- Primary Care Outpatient Setting
- Local Site Gulf War Registry List
- National Gulf War Registry List
- CSP 585 collaboration



Veterans who had a mandated exam and opt in:

- Initial contact by mail
- Follow-up via telephone, screening by the Registry Recruiter based at the Miami VA

# PHASE III CLINICAL TRIAL ELIGIBILITY CRITERIA



- Veterans between 35 and 70 years old
- Good health by medical history prior to 1990
- Not have exclusionary diagnoses that could reasonably explain the symptoms of fatigue and severity

# PHASE III CLINICAL TRIAL ELIGIBILITY CRITERIA

- Meet Kansas Case Definition
- Deployment to the theater of operations between August 8, 1990 and July 31, 1991
- Multiple and moderately severe symptoms (> 6 months) in at least 3 of 6 symptom domains
  - Fatigue/sleep problems
  - Somatic pain symptoms
  - Neuro/cognitive/mood symptoms
  - Gastrointestinal symptoms
  - Respiratory symptoms
  - Skin symptoms

# PHASE III CLINICAL TRIAL EXCLUSION CRITERIA

## Psychiatric Conditions:

- Major depression with psychotic or melancholic features
- Schizophrenia
- Bipolar disorder
- Delusional disorders
- Dementias of any type
- Alcoholism or drug abuse
- Hospitalization in past 6 months for Post-traumatic stress disorder (PTSD)



# PHASE III CLINICAL TRIAL EXCLUSION CRITERIA

## Medical conditions:

- Auto-immune disease (lupus, defined rheumatologic inflammatory disorders)
- Organ failure
- Chronic active infections such as HIV, hepatitis B and C
- Transplant
- Primary sleep disorders
- Pregnancy



# PHASE III CLINICAL TR EXCLUSION CRITERIA



## Medications that could potentially impact immune function:

- Steroids
- Immuno-suppressives
- Nutraceuticals that are formulated to impact mitochondrial function or oxidative stress
- Morphine derivatives that are used daily
- Known allergy to CoQ10 and/or inactive ingredients of active and placebo soft gelatin capsules
- Current use of Coumadin (given the vitamin K structural similarity of CoQ10)



# PHASE III CLINICAL TRIAL CONDITIONS AND MEDICATIONS NOT EXCLUDED

- Infectious disease or medical condition apparent at the screening visit, the subject will be counseled and referred to primary care.
- Occasional use of less strong pain medications such as tramadol or codeine (monitor for frequency of use as an indication of CoQ10's impact on chronic pain).
- Common multivitamin preparations will be allowed if taken without change throughout the protocol.
- Wash-out period of 12 weeks prior to study entry is required for CoQ10 or other nutraceuticals formulated to impact mitochondrial function.
- **Agreement to leave any medications and other supplements unchanged in their regimen throughout the course of the 6 month intervention.**

# PHASE III CLINICAL TRIAL TIMELINE

**Year 1**

- **Staff Training**
- **IRB Approval Process**
- **Begin Recruitment**

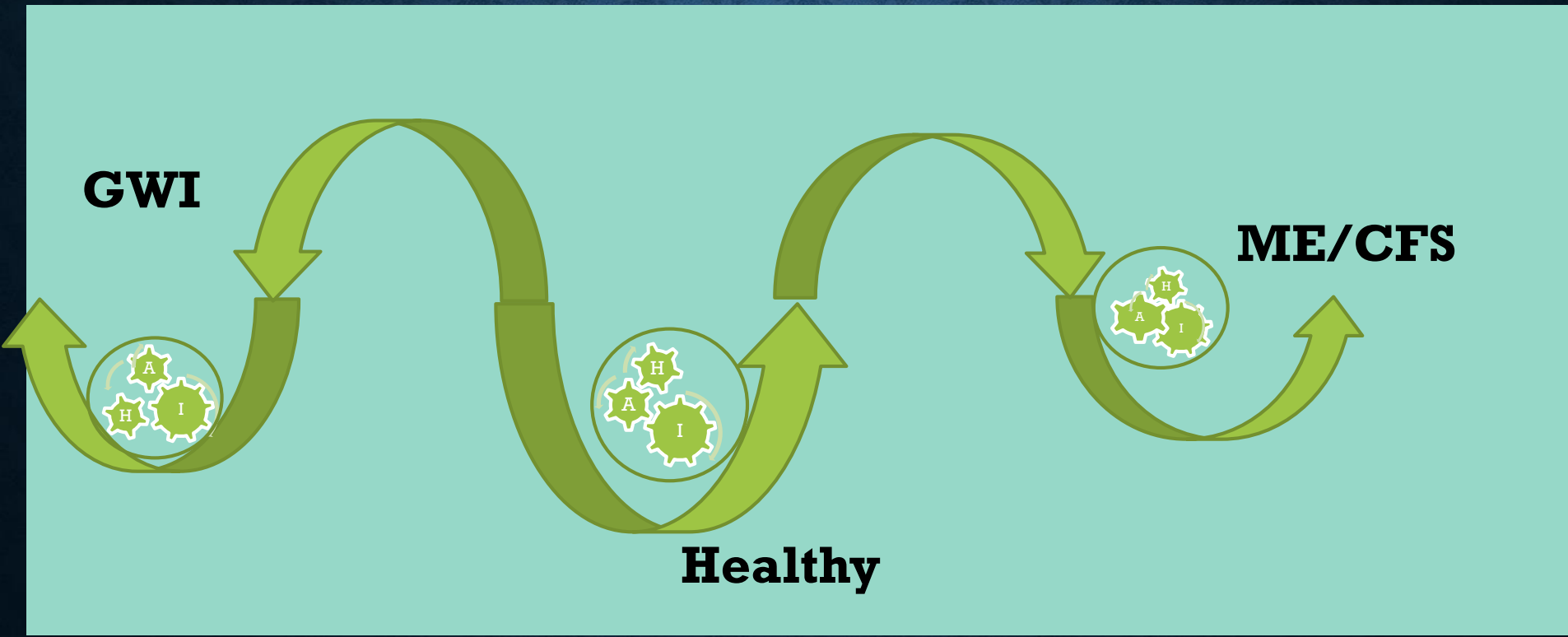
**Year 2**

- **Recruitment**
- **Data Collection**

**Year 3**

- **End Recruitment and Data Collection**
- **Data Analysis**
- **Dissemination of Findings**

# HOMEOSTATIC IMBALANCE



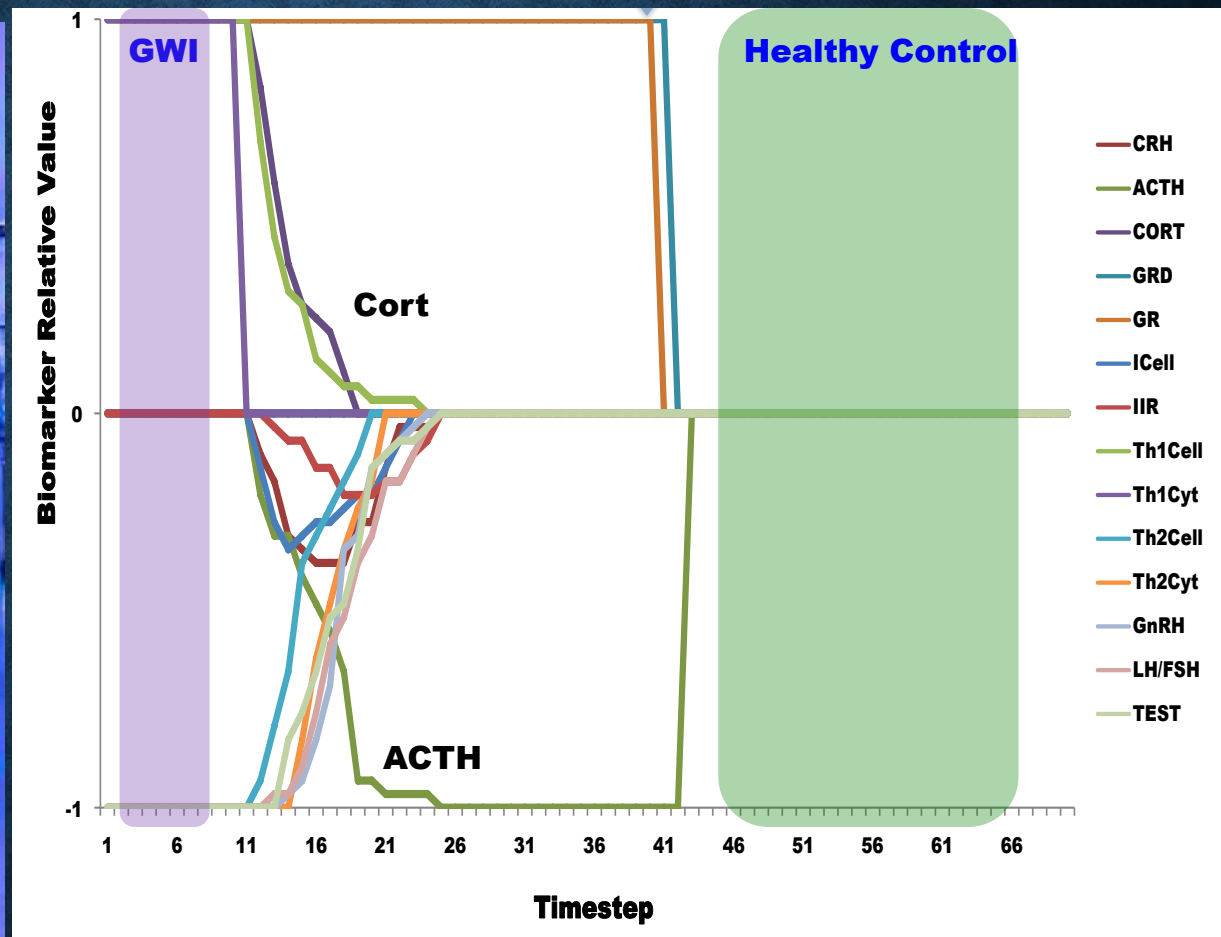
# EXERCISE CHALLENGE TO MAP OUT MECHANISMS OF RELAPSE



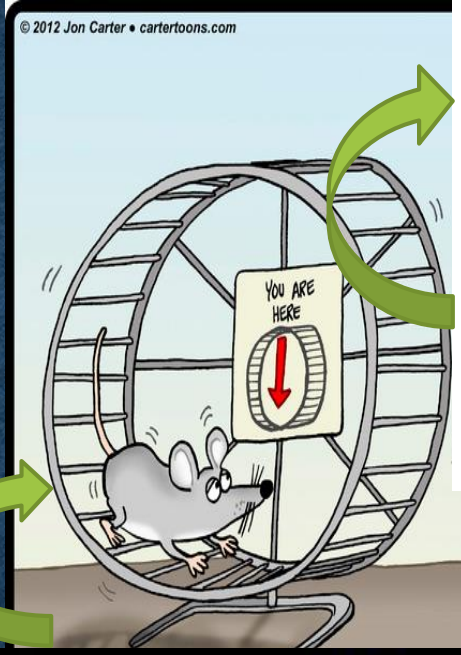
# Treatable: Delivering lasting remission

↓ Th1 inflammatory  
Cytokine Inhibition  
(e.g. TNFa)

↓ Glucocorticoid  
Receptor Inhibition



Integrating basic science with clinical data...  
one-two endocrine-immune punch



# PHASE I PROOF OF CONCEPT TRIAL (NSU GWIC)

Baseline challenge for modeling

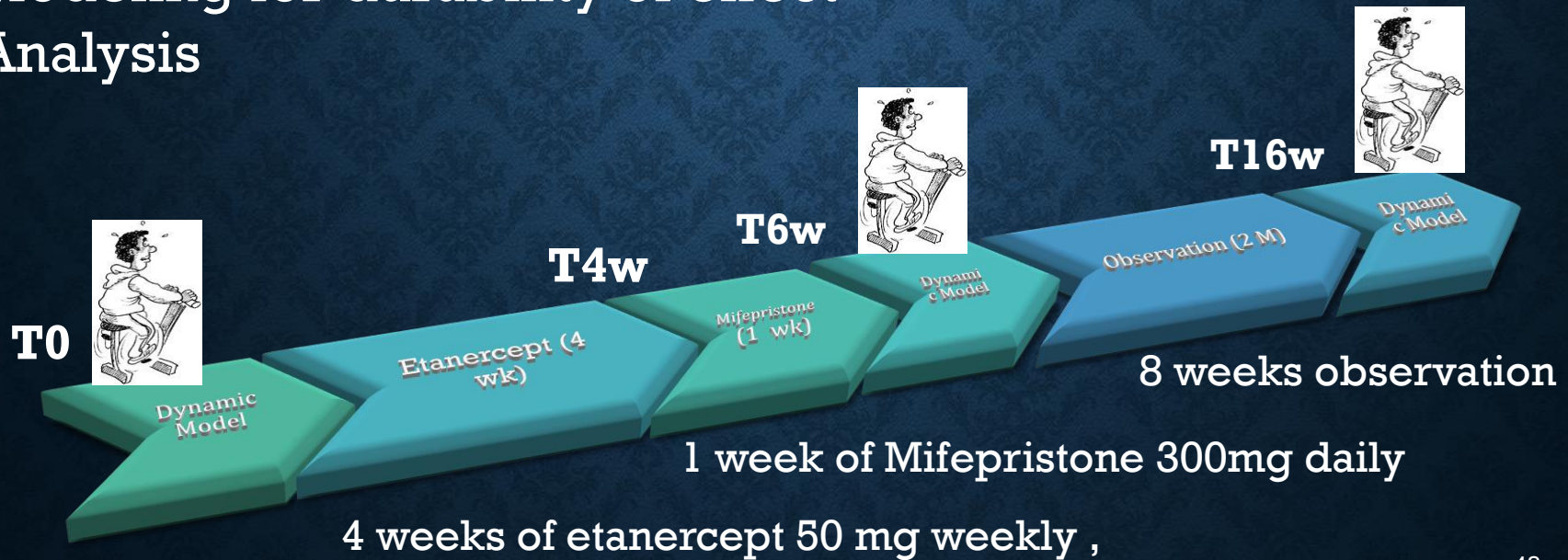
Intervention 4 weeks etanercept, then 1 week mifepristone

Post treatment challenge for modeling

2 month observation

Modeling for durability of effect

Analysis



Recruitment 20 subjects over 12 months, complete in 16 months



# NFK<sub>B</sub> TARGETING – COMPUTATIONAL MODEL

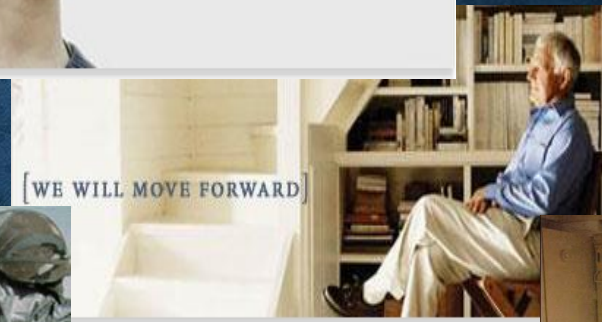
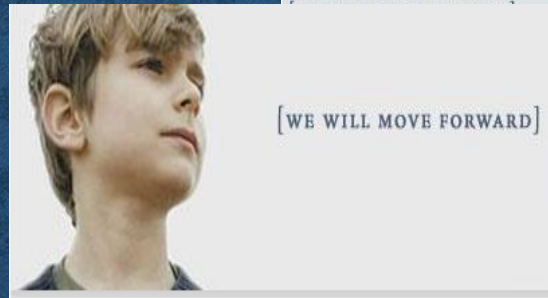
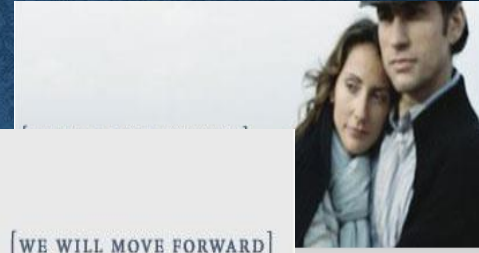
- Phase 2 placebo control trial comparing two nutraceuticals:
  - Curcumin
  - Liposomal glutathione
- Involves using the exercise challenge to look at regulatory balance, 4 month intervention, then repeat challenge
- Determine if targeting NFK<sub>B</sub> impacts downstream events (TH1/TH2, NK function , proinflammatory cytokine expression)

# CONCLUSION

- Targeting mediators of illness persistence teaches us much about the underpinnings of illness, and can be used to test the models in addition to the primary goal: restoring veterans with GWI to health
- Our group has 4 active clinical trials, with additional studies for specific subgroups ready to roll out.
- Thanks to robust funding mechanisms, the current biggest barrier to delivering effective therapy to our veterans is accessing veterans willing to volunteer in these studies. An effective partnership with veterans organizations with this goal in mind could deliver effective treatments far sooner.

# ME/ CFS

## Gulf War Illness



- Identifiable
- Treatable
- New treatments will follow new understanding

# THE INIM TEAM

## INIM Administration

Nancy Klimas– Director  
Ana Del Alamo–  
Administrative Director  
Nilda Hernandez –  
Kerline Geffrard – clinics  
manager  
Anabel Cabrera  
Tramiel Dillard  
David Freeman  
Melanie Perez

## Clinical Team

Nancy Klimas, MD  
Irma Rey, MD  
Maria Vera, MD  
Alison Bested, MD  
Violetta Renesca. ARNP  
Irina Rozenfeld, ARNP  
Jeff Cournoyer, Exercise  
Physiologist  
Elaine Burricaudy  
Annette Green

## Immunology /Biomarkers

Mary Ann Fletcher – Director  
Lubov Nathanson ,PhD  
Paula Waziry , PhD  
Kristina Aenlle, PhD  
Maria Abreu, PhD  
Xiao Rong Zeng, PhD  
Zach Barnes, manager  
Lisette Pierlus  
Howard Lin  
Claudia Vizcarra  
Gilbert Eugene  
Sandra Yudice  
Zachary Barnes  
Bisha Chen  
**Animal Research**  
Mariana Morris – Director  
Aman Cheema  
Jaqueline Machi  
Rodrigo Schmidt

## Clinical Systems Biology

Gordon Broderick (RU) - Co Director  
Travis Craddock – Co-Director  
Mark Rice  
Patrick Gourdet  
Tory Toole  
Mary Jeffrey  
Rajeev Jaundoo  
Saurabh Vashishtha  
Lindsey Russell  
Trevor Barker  
Gaytri Patel

## Clinical Research

Nancy Klimas, MD director  
Elizabeth Balbin –Manager  
Kelly Hilton , CC2  
Devra Cohen  
Precious Leaks-Gutierrez  
Fanny Collado  
James Blount

Funded by

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And the generosity of private donors

# INIM TEAM



- *Mission: Advance knowledge and care for people with complex neuro-inflammatory illnesses through the integration of research, clinical care and education*

# MORE INFORMATION

Check out this web page

[www.nova.edu/nim](http://www.nova.edu/nim)

And facebook!

<https://www.facebook.com/COM.INIM>



# Questions and Concerns